



APR 02 2001

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/316,938

Filing Date 05/21/1999

First Named Inventor THORSEN

Group Art Unit 2166

Examiner Name RIMELL, S.

Total Number of Pages in This Submission

Attorney Docket Number 1685

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APR 15 2001

## ENCLOSURES (check all that apply)

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| <input checked="" type="checkbox"/> Fee Transmittal Form                         |
| <input type="checkbox"/> Fee Attached  |
| <input checked="" type="checkbox"/> Amendment / Reply <i>w/ red-lined claims</i> |
| <input type="checkbox"/> After Final   |
| <input type="checkbox"/> Affidavits/declaration(s)                               |
| <input type="checkbox"/> Extension of Time Request                               |
| <input type="checkbox"/> Express Abandonment Request                             |
| <input type="checkbox"/> Information Disclosure Statement                        |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application       |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53     |

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|---|
| <input type="checkbox"/> Assignment Papers (for an Application)                         |
| <input type="checkbox"/> Drawing(s)   |
| <input type="checkbox"/> Licensing-related Papers                                       |
| <input type="checkbox"/> Petition   |
| <input type="checkbox"/> Petition to Convert to a Provisional Application               |
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| <input type="checkbox"/> After Allowance Communication to Group  |
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| <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>RETURN POSTCARD |

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Beck &amp; Tysver, P.L.L.C.

Signature

Date

3/27/01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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Date 3/27/01

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PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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2166 ✓

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 80)

## Complete if Known

Application Number	09/316,938
Filing Date	05/21/1999
First Named Inventor	Thorsen
Examiner Name	Rimell, S.
Group Art Unit	2166
Attorney Docket No.	1685

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## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 500-246

Deposit Account Name Beck &amp; Tysver

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

 Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** = 2	X 40 = 80	
Multiple Dependent	-3*** =	X =	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 80)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)	STEPHANIE J. SMITH	Registration No. (Attorney/Agent)	34,437	Telephone	612-915-9636
Signature	<i>Stephanie J. Smith</i>				
		Date	3/27/01		

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09/316,938

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Thorsen, et al.	Examiner:	Rimell, S.
Serial No.:	09/316,938	Group Art Unit:	2166
Filing Date:	May 21, 1999	Docket No.:	1685
Title	Healthcare Payment, Reporting and Data Processing System and Method		

Date of Deposit: 3/27/01

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Signature: Stephanie J. Smith  
Printed Name: Stephanie J. Smith

RESPONSE

Assistant Commissioner for Patents  
Washington, DC 20231

This is responsive to the outstanding Office Action mailed December 27, 2000, and identified as paper number 5. Reconsideration and allowance of this application is respectfully solicited in view of the following amendments and remarks.

AMENDMENTS

Please amend the claims to read as follows:

1. (Amended) A computerized system for tracking healthcare services, making payment to providers for services, and collecting payment from employers and employees for such services, comprising:
  - a) a payment process for paying an aggregate batch of provider claims for services delivered during a predetermined time period to an employee from an aggregate fund;
  - b) a primary funding process coupled to said payment process for replenishing funds disbursed by said payment process, by receiving funds from the employee's employer and depositing said funds in said aggregate fund;